

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L67120MH1995PLC086696

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACH8136P

(ii) (a) Name of the company

ANAND RATHI WEALTH LIMITE

(b) Registered office address

Express Zone, A Wing, 10th Floor, Western Express Highway,  
Goregaon (E), NA  
Mumbai  
Mumbai City  
Maharashtra  
400033

(c) \*e-mail ID of the company

CS\*\*\*\*\*HI.COM

(d) \*Telephone number with STD code

02\*\*\*\*\*03

(e) Website

<https://anandrathiwealth.in/>

(iii) Date of Incorporation

22/03/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE India Limited	1
2	National Stock Exchange of India Ltd	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikh  
roli (West), NA

(vii) \*Financial year From date 01/04/2023 (DD/MM/YYYY) To date 31/03/2024 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 15/06/2024

(b) Due date of AGM 30/09/2024

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 3

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	AR DIGITAL WEALTH PRIVATE I	U65923MH1996PTC097270	Subsidiary	75.51
2	FFREEDOM INTERMEDIARY INF	U74999MH2013PTC245870	Subsidiary	100

3	FREEDOM WEALTH SOLUTION	U74990MH2009PTC190352	Subsidiary	95
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#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	50,000,000	41,828,297	41,828,297	41,828,297
Total amount of equity shares (in Rupees)	250,000,000	209,141,485	209,141,485	209,141,485

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	50,000,000	41,828,297	41,828,297	41,828,297
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	250,000,000	209,141,485	209,141,485	209,141,485

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	1	41,688,246	41688247	208,441,235	208,441,235	
<b>Increase during the year</b>	0	140,050	140050	700,250	700,250	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	140,050	140050	700,250	700,250	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	1	41,828,296	41828297	209,141,485	209,141,485	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE463V01026

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,946,763,000

(ii) Net worth of the Company

6,821,461,485

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	10,797,858	25.81	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	9,291,123	22.21	0	
10.	Others	0	0	0	
	<b>Total</b>	20,088,981	48.02	0	0

**Total number of shareholders (promoters)**

17

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	12,097,253	28.92	0	
	(ii) Non-resident Indian (NRI)	358,959	0.86	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	595	0	0	

4.	Banks	0	0	0	
5.	Financial institutions	28,881	0.07	0	
6.	Foreign institutional investors	2,032,286	4.86	0	
7.	Mutual funds	3,642,349	8.71	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,434,212	3.43	0	
10.	Others          LLP, trusts, Clearing Membe	2,144,781	5.13	0	
	<b>Total</b>	21,739,316	51.98	0	0

**Total number of shareholders (other than promoters)**

44,290

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

44,307

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
CALIFORNIA PUBLIC E	HSBC SECURITIES SERVICES 11TH F			29,068	0.06
FUNDPARTNER SOLUT	HSBC SECURITIES SERVICES 11TH F			988	0
ISHARES CORE MSCI E	CITIBANK N.A. CUSTODY SERVICES			2,073	0
ISHARES IV PUBLIC LIM	CITIBANK N.A. CUSTODY SERVICES			6,563	0.01
MERCER QIF FUND PL	CITIBANK N.A. CUSTODY SERVICES			2,176	0
SSGA SPDR ETFs EURC	DEUTSCHE BANK AG, DB HOUSE H			4,352	0.01
TEACHERS' RETIREMEN	DEUTSCHE BANK AG, DB HOUSE H			2,516	0
VANGUARD FIDUCIAR	JP Morgan Chase Bank N.A, INDIA			108,678	0.25
VANGUARD FTSE ALL-	STANDARD CHARTERED BANK SEC			53,828	0.12
VANGUARD INVESTMI	HSBC SECURITIES SERVICES 11TH F			1,256	0
CC&L Q EMERGING M/	STANDARD CHARTERED BANK SEC			1,526	0
CC&L Q EMERGING M/	HSBC SECURITIES SERVICES 11TH F			18,812	0.04
COMMONWEALTH OF	DEUTSCHE BANK AG DB HOUSE, H			14,402	0.03

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
GOLDMAN SACHS (SIN)	STANDARD CHARTERED BANK SEC			9,805	0.02
ISHARES MSCI EMERG	CITIBANK N.A. CUSTODY SERVICES			6,200	0.01
ISHARES MSCI INDIA S	CITIBANK N.A. CUSTODY SERVICES			41,026	0.09
LEGAL & GENERAL UC	DEUTSCHE BANK AG, DB HOUSE H			476	0
VANGUARD FUNDS PL	HSBC SECURITIES SERVICES 11TH F			89	0
WISDOM TREE ISSUER	CITIBANK N.A. CUSTODY SERVICES			418	0
1199 SEIU HEALTH CA	CITIBANK N.A. CUSTODY SERVICES			775	0
ALASKA PERMANENT	STANDARD CHARTERED BANK SEC			8,150	0.01
ALLIANZ GLOBAL INVI	JP Morgan Chase Bank N.A, INDIA			371	0
CALIFORNIA STATE TE	HSBC SECURITIES SERVICES 11TH F			48,333	0.11
CALIFORNIA STATE TE	HSBC SECURITIES SERVICES 11TH F			6,944	0.01
CC&L Q EMERGING M/	CITIBANK N.A. CUSTODY SERVICES			15,497	0.03

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	16	17
Members (other than promoters)	35,627	44,290
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	2	0	2	0	19.41
<b>B. Non-Promoter</b>	1	4	1	4	0	0
(i) Non-Independent	1	4	1	4	0	0

(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>6</b>	<b>1</b>	<b>6</b>	<b>0</b>	<b>19.41</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ANAND NANDKISHOF	00112853	Director	6,266,390	
PRADEEP NAVRATAN	00040117	Director	1,852,012	
RAKESH RAWAL	02839168	Whole-time director	0	
MOHAN VASANT TAN	02971181	Director	0	
KISHAN GOPAL SOM	00014648	Director	0	
RAMESH CHANDAK	00026581	Director	1,500	
SUDHA PRAVIN NAV	02804964	Director	1,500	
RAJESH KUMAR BHU	AGIPB5836H	CFO	9,378	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Rakesh Rawal	02839168	Whole-time director	01/04/2023	Re-appointment
Nitesh Tanwar	AOBPT6200Q	Company Secretary	11/03/2024	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	05/06/2023	36,562	130	12.25

**B. BOARD MEETINGS**

\*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	12/04/2023	7	7	100
2	12/07/2023	7	7	100
3	12/10/2023	7	7	100
4	12/01/2024	7	7	100

**C. COMMITTEE MEETINGS**

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	12/04/2023	5	5	100
2	Audit Committee	12/07/2023	5	5	100
3	Audit Committee	12/10/2023	5	5	100
4	Audit Committee	12/01/2024	5	5	100
5	Nomination & Remuneration	12/04/2023	4	4	100
6	Nomination & Remuneration	12/07/2023	4	4	100
7	Nomination & Remuneration	12/01/2024	4	4	100
8	Corporate Social Responsibility	12/04/2023	3	3	100
9	Corporate Social Responsibility	12/01/2024	3	3	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
10	Stakeholder R	12/01/2024	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	15/06/2024
								(Y/N/NA)
1	ANAND NANE	4	4	100	20	19	95	Yes
2	PRADEEP NA	4	4	100	13	12	92.31	No
3	RAKESH RAV	4	4	100	8	8	100	Yes
4	MOHAN VAS/	4	4	100	6	4	66.67	Yes
5	KISHAN GOP.	4	4	100	7	7	100	Yes
6	RAMESH CH/	4	4	100	10	10	100	Yes
7	SUDHA PRAV	4	4	100	11	11	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Rakesh Rawal	Whole- Time Dir	77,598,400	0	0	21,600	77,620,000
	Total		77,598,400	0	0	21,600	77,620,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Rajesh Bhutara	Chief Financial C	12,419,999	0	0	0	12,419,999
2	Nitesh Tanwar	Company Secre	2,002,343	0	0	0	2,002,343
	Total		14,422,342	0	0	0	14,422,342

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mohan Vasant Tank	Independent Dir	0	0	0	555,000	555,000
2	Kishan Gopal Soma	Independent Dir	0	0	0	610,000	610,000
3	Ramesh Chandak	Independent Dir	0	0	0	700,000	700,000
4	Sudha Pravin Nava	Independent Dir	0	0	0	725,000	725,000
	Total		0	0	0	2,590,000	2,590,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Himanshu S kamdar

Whether associate or fellow

Associate  Fellow

Certificate of practice number

3030

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

PRADEEP  
NAVRATAN  
GUPTA

DIN of the director

0\*0\*0\*1\*

**To be digitally signed by**

JAE  
VASANT  
SARWANKAR

Company Secretary

Company secretary in practice

Membership number

3\*0\*0

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Optional attachement.pdf
List of Shareholders.pdf
MGT 8.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**