

<b>General information about company</b>		
Scrip code	543415	
NSE Symbol	ANANDRATHI	
MSEI Symbol	NOTLISTED	
ISIN	INE463V01026	
Name of the entity	ANAND RATHI WEALTH LIMITED	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Yearly	
Date of Quarter Ending	31-03-2026	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	Yes	
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No	NA
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	No	NA
Risk management committee	Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	COMA01142	
Reason For No SCORE ID		
Type of Submission	Original	
Remarks (website dissemination)		
Remarks for Exchange (not for Website Dissemination)		

**Annexure I****Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Anand Nanadkishore Rathi	AADPR6532R	00112853	Non-Executive - Non Independent Director	Chairperson related to Promoter		25-06-1946
2	Mr	Pradeep Kumar Gupta	ABRPG5512H	00040117	Non-Executive - Non Independent Director	Not Applicable		20-07-1967
3	Mr	Rakesh Rawal	AAEPR9801J	02839168	Executive Director	Not Applicable	CEO	07-01-1956
4	Mr	Debasish Panda	ABWPP3300P	06479085	Non-Executive - Independent Director	Not Applicable		05-01-1962
5	Mr	Adesh Kumar Gupta	AACPG9490L	00020403	Non-Executive - Independent Director	Not Applicable		11-09-1956
6	Mrs	Deena Asit Mehta	AABPM6689L	00168992	Non-Executive - Independent Director	Not Applicable		18-02-1961
7	Mr	Kishan Gopal Somani	AAOPS3830L	00014648	Non-Executive - Independent Director	Not Applicable		11-07-1939
8	Mr	Ramesh Chandak	ACVPC9915H	00026581	Non-Executive - Independent Director	Not Applicable		07-11-1946
9	Mr	Mohan Tanksale	AALPT7284N	02971181	Non-Executive - Independent Director	Not Applicable		31-07-1953
10	Mrs	Sudha Navandar	AAAPN9936C	02804964	Non-Executive - Independent Director	Not Applicable		02-11-1966

**I. Composition of Board of Directors**

**Disqualification of Directors under section 164 of the Companies Act, 2013**

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active

**I. Composition of Board of Directors**

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Yes	15-07-2021	18-03-2005				2	1	7	2			
2	NA		18-03-2005				2	0	4	1			
3	NA		01-03-2017	01-04-2023			1	0	0	0			
4	NA		12-01-2026			2.2	1	1	2	1			
5	NA		12-01-2026			2.2	3	3	5	3			
6	NA		12-01-2026			2.2	4	3	5	4			
7	Yes	28-09-2020	15-03-2018	15-03-2021	14-03-2026	95.29	0	0	0	0	Tenure Completion		
8	Yes	15-07-2021	15-03-2018	15-03-2021	14-03-2026	95.29	0	0	0	0	Tenure Completion		
9	NA		06-02-2018	06-02-2021	05-02-2026	95.29	0	0	0	0	Tenure Completion		
10	NA		15-03-2018	15-03-2021	14-03-2026	95.29	0	0	0	0	Tenure Completion		

**Text Block**

Textual Information(1)

Mr. Mohan Tanksale cease to be Independent Directors of the Company upon completion of tenure with effect from close of business hours of February 05, 2026 and Mr. Ramesh Chandak, Mr. Kishan Gopal Somani and Mrs. Sudha Navandar cease to be Independent Directors of the Company upon completion of tenure with effect from close of business hours of March 14, 2026

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02971181	Mohan Tanksale	Non-Executive - Independent Director	Chairperson	15-03-2018	05-02-2026	Textual Information(1)
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	12-10-2021		
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018	14-03-2026	Textual Information(2)
4	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	12-10-2021	14-03-2026	Textual Information(3)
5	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Member	12-10-2021	14-03-2026	Textual Information(4)
6	00020403	Adesh Kumar Gupta	Non-Executive - Independent Director	Chairperson	06-02-2026		Textual Information(5)
7	06479085	Debasish Panda	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(6)
8	00168992	Deena Asit Mehta	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(7)

<b>Sr Text Block</b>	
Textual Information(1)	Mr. Mohan Tanksale ceased to be as Chairperson of the Committee with effect from close of business hours of February 5, 2026.
Textual Information(2)	Mrs. Sudha Pravin Navandar ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(3)	Mr. Ramesh Chandak ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(4)	Mr. Kishan Gopal Somani ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(5)	Mr. Adesh Kumar Gupta appointed as Member of the Committee with effect from January 12, 2026 and as Chairperson with effect from February 06, 2026
Textual Information(6)	Mr. Debasish Panda appointed as Member of the Committee with effect from January 12, 2026
Textual Information(7)	Mrs. Deena Asit Mehta appointed as Member of the Committee with effect from January 12, 2026

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00014648	Kishan Gopal Somani	Non-Executive - Independent Director	Chairperson	15-03-2018	14-03-2026	Textual Information(1)
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	15-03-2018		
3	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	15-03-2018	14-03-2026	Textual Information(2)
4	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	13-10-2022	14-03-2026	Textual Information(3)
5	00168992	Deena Asit Mehta	Non-Executive - Independent Director	Chairperson	15-03-2026		Textual Information(4)
6	00020403	Adesh Kumar Gupta	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(5)

**Sr Text Block**

Textual Information(1)	Mr. Kishan Gopal Somani ceased to be Chairperson of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(2)	Mr. Ramesh Chandak ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(3)	Mrs. Sudha Navandar ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(4)	Mrs. Deena Asit Mehta appointed as Member of the Committee with effect from January 12, 2026 and as Chairperson with effect from March 15, 2026
Textual Information(5)	Mr. Adesh Kumar Gupta appointed as Member of the Committee with effect from January 12, 2026

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00026581	Ramesh Chandak	Non-Executive - Independent Director	Chairperson	15-03-2018	14-03-2026	Textual Information(1)
2	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Member	13-10-2022		
3	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018		
4	00168992	Deena Asit Mehta	Non-Executive - Independent Director	Chairperson	15-03-2026		Textual Information(2)

**Sr Text Block**

Textual Information(1)	Mr. Ramesh Chandak ceased to be Chairperson of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(2)	Mrs. Deena Asit Mehta appointed as Member of the Committee with effect from January 12, 2026 and as Chairperson with effect from March 15, 2026

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Chairperson	16-07-2021		
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	16-07-2021	12-01-2026	Textual Information(1)
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	16-07-2021	14-03-2026	Textual Information(2)
4	02971181	Mohan Tanksale	Non-Executive - Independent Director	Member	16-07-2021	05-02-2026	Textual Information(3)
5	00026581	Ramesh Chandak	Non-Executive - Independent Director	Member	16-07-2021	14-03-2026	Textual Information(4)
6	01315143	Rajesh Bhutara	Chief financial officer	Member	16-07-2021	12-01-2026	Textual Information(5)
7	02839168	Rakesh Rawal	Executive Director	Member	12-01-2026		Textual Information(6)
8	06479085	Debasish Panda	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(7)
9	00168992	Deena Asit Mehta	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(8)
10	00020403	Adesh Kumar Gupta	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(9)

**Sr Text Block**

Textual Information(1)	Mr. Pradeep Kumar Gupta ceased to be Member of the Committee with effect from January 12, 2026
Textual Information(2)	Mrs. Sudha Navandar ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(3)	Mr. Mohan Tanksale ceased to be Member of the Committee with effect from close of business hours of February 05, 2026.
Textual Information(4)	Mr. Ramesh Chandak ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(5)	Mr. Rajesh Kumar Bhutara ceased to be Member of the Committee with effect from January 12, 2026
Textual Information(6)	Mr. Rakesh Rawal appointed as Member of the Committee with effect from January 12, 2026
Textual Information(7)	Mr. Debasish Panda appointed as Member of the Committee with effect from January 12, 2026
Textual Information(8)	Mrs. Deena Asit Mehta appointed as Member of the Committee with effect from January 12, 2026
Textual Information(9)	Mr. Adesh Kumar Gupta appointed as Member of the Committee with effect from January 12, 2026

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00112853	Anand Nanadkishore Rathi	Non-Executive - Non Independent Director	Chairperson	15-03-2018		
2	00040117	Pradeep Kumar Gupta	Non-Executive - Non Independent Director	Member	15-03-2018		
3	02804964	Sudha Navandar	Non-Executive - Independent Director	Member	15-03-2018	14-03-2026	Textual Information(1)
4	00020403	Adesh Kumar Gupta	Non-Executive - Independent Director	Member	12-01-2026		Textual Information(2)

**Sr Text Block**

Textual Information(1)	Mrs. Sudha Navandar ceased to be Member of the Committee with effect from close of business hours of March 14, 2026.
Textual Information(2)	Mr. Adesh Kumar Gupta appointed as Member of the Committee with effect from January 12, 2026

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1****Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							Textual Information(1)	No. of Independent Directors attending the meeting*
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	
1	13-10-2025				Yes	7	7	4
2		12-01-2026	90		Yes	10	9	6

**Text Block**

Textual Information(1)

Mr. Debasish Panda, Mr. Adesh Kumar Gupta and Mrs. Deena Asit Mehta appointed as Independent Director of the Company with effect from January 12, 2026

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	13-10-2025				Yes	5	5	4	0
2	Audit Committee	12-01-2026	90			Yes	5	4	3	0
3	Nomination and remuneration committee	13-10-2025				Yes	4	4	3	0
4	Nomination and remuneration committee	12-01-2026	90			Yes	4	3	2	0
5	Corporate Social Responsibility Committee	12-01-2026				Yes	3	3	1	0
6	Stakeholders Relationship Committee	12-01-2026				Yes	3	3	1	0

**Annexure 1**

**IV. Meeting of Committees**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Risk Management Committee	12-01-2026				Yes	5	5	3	0

**Annexure 1****V. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Pravin Jogani
2	Designation	Company Secretary and Compliance Officer

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

**I. Disclosure on website in terms of LODR Regulation**

<b>Sr</b>				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
	As per regulation 46(2) of the LODR:			
1.1	Details of business	Yes		<a href="https://anandrathiwealth.in/">https://anandrathiwealth.in/</a>
1.2	Memorandum of Association and Articles of Association	Yes		<a href="https://www.anandrathiwealth.in/communication-to-se.php">https://www.anandrathiwealth.in/communication-to-se.php</a>
1.3	Brief profile of board of directors including directorship and full-time positions in body corporates	Yes		<a href="https://www.anandrathiwealth.in/about-ar-wealth.php">https://www.anandrathiwealth.in/about-ar-wealth.php</a>
2	Terms and conditions of appointment of independent directors	Yes		<a href="https://www.anandrathiwealth.in/wealthpdf/16mar26/TermsandConditionofappointmentofIndependentDirector14March2026.pdf">https://www.anandrathiwealth.in/wealthpdf/16mar26/TermsandConditionofappointmentofIndependentDirector14March2026.pdf</a>
3	Composition of various committees of board of directors	Yes		<a href="https://www.anandrathiwealth.in/annual-submission.php">https://www.anandrathiwealth.in/annual-submission.php</a>
4	Code of conduct of board of directors and senior management personnel	Yes		<a href="https://anandrathiwealth.in/wealthpdf/Code%20of%20Conduct%20for%20Board%20Members%20and%20Senior%20Management.pdf">https://anandrathiwealth.in/wealthpdf/Code%20of%20Conduct%20for%20Board%20Members%20and%20Senior%20Management.pdf</a>
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="https://anandrathiwealth.in/wealthpdf/13dec/13VigilMechanismWhistleBlowerPolicy.pdf">https://anandrathiwealth.in/wealthpdf/13dec/13VigilMechanismWhistleBlowerPolicy.pdf</a>

6	Criteria of making payments to non-executive directors	Yes		<a href="https://www.anandrathiwealth.in/wealthpdf/13dec/7NominationandRemunerationPolicy.pdf">https://www.anandrathiwealth.in/wealthpdf/13dec/7NominationandRemunerationPolicy.pdf</a>
7	Policy on dealing with related party transactions	Yes		<a href="https://www.anandrathiwealth.in/wealthpdf/21jan25/RPTPolicy.pdf">https://www.anandrathiwealth.in/wealthpdf/21jan25/RPTPolicy.pdf</a>
8	Policy for determining 'material' subsidiaries	Yes		<a href="https://anandrathiwealth.in/wealthpdf/13dec/8MaterialityPolicyForDeterminationOfSubsidiaries.pdf">https://anandrathiwealth.in/wealthpdf/13dec/8MaterialityPolicyForDeterminationOfSubsidiaries.pdf</a>
9	Details of familiarization programmes imparted to independent directors	Yes		<a href="https://www.anandrathiwealth.in/company-policies.php">https://www.anandrathiwealth.in/company-policies.php</a>
10	Email address for grievance redressal and other relevant details	Yes		<a href="https://www.anandrathiwealth.in/Investor-contact-details.php">https://www.anandrathiwealth.in/Investor-contact-details.php</a>
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		<a href="https://www.anandrathiwealth.in/Investor-contact-details.php">https://www.anandrathiwealth.in/Investor-contact-details.php</a>
12	Financial results	Yes		<a href="https://www.anandrathiwealth.in/financial.php">https://www.anandrathiwealth.in/financial.php</a>
13	Shareholding pattern	Yes		<a href="https://www.anandrathiwealth.in/compliance-under-listing-obligations.php">https://www.anandrathiwealth.in/compliance-under-listing-obligations.php</a>
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)			
I. Disclosure on website in terms of LODR Regulation			
Sr			
	As per regulation 46(2) of the LODR:		
15.1	(I) Schedule of analyst or institutional investor meet (II) Presentations prepared by the listed entity for analysts or institutional investors meet, post earnings or quarterly calls prior to beginning of such events.	Yes	<a href="https://www.anandrathiwealth.in/financial.php">https://www.anandrathiwealth.in/financial.php</a>
15.2	Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means	Yes	<a href="https://www.anandrathiwealth.in/financial.php">https://www.anandrathiwealth.in/financial.php</a>
16	New name and the old name of the listed entity	NA	
17	Advertisements as per regulation 47 (1)	Yes	<a href="https://www.anandrathiwealth.in/communication-to-se.php">https://www.anandrathiwealth.in/communication-to-se.php</a>
18	Credit rating or revision in credit rating obtained	NA	
19	Separate audited financial statements of	Yes	<a href="https://www.anandrathiwealth.in/annual-submission.php">https://www.anandrathiwealth.in/annual-submission.php</a>

	each subsidiary of the listed entity in respect of a relevant financial year		
20	Secretarial Compliance Report	Yes	<a href="https://www.anandrathiwealth.in/compliance-under-listing-obligations.php">https://www.anandrathiwealth.in/compliance-under-listing-obligations.php</a>
21	Materiality Policy as per Regulation 30 (4)	Yes	<a href="https://www.anandrathiwealth.in/wealthpdf/04aug23/PolicyonDeterminationofMateriality.pdf">https://www.anandrathiwealth.in/wealthpdf/04aug23/PolicyonDeterminationofMateriality.pdf</a>
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes	<a href="https://www.anandrathiwealth.in/wealthpdf/15oct2025/ContactdetailsofPersonauthorisedtodeterminematerialityofeventorinformationupdated.pdf">https://www.anandrathiwealth.in/wealthpdf/15oct2025/ContactdetailsofPersonauthorisedtodeterminematerialityofeventorinformationupdated.pdf</a>
23	Disclosures under regulation 30(8)	Yes	<a href="https://www.anandrathiwealth.in/communication-to-se.php">https://www.anandrathiwealth.in/communication-to-se.php</a>
24	Statements of deviation(s) or variations(s) as specified in regulation 32	NA	
25	Dividend Distribution policy as per Regulation 43A(1)	Yes	<a href="https://anandrathiwealth.in/newpdf/pdf/3dec/DividendDistributionPolicy.pdf">https://anandrathiwealth.in/newpdf/pdf/3dec/DividendDistributionPolicy.pdf</a>
26.1	Annual return as provided under section 92 of the Companies Act, 2013	Yes	<a href="https://www.anandrathiwealth.in/annual-submission.php">https://www.anandrathiwealth.in/annual-submission.php</a>
26.2	Employee Benefit scheme documents framed in terms of SEBI (SBEB) Regulations, 2021	Yes	<a href="https://www.anandrathiwealth.in/">https://www.anandrathiwealth.in/</a>
27	Confirmation that the above disclosures are in a separate section as	Yes	<a href="https://www.anandrathiwealth.in/">https://www.anandrathiwealth.in/</a>

	specified in regulation 46(2)		
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes	<a href="https://www.anandrathiwealth.in/">https://www.anandrathiwealth.in/</a>

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	
27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	Yes	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	Yes	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

**Annexure II**

**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	NA	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
47	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	NA	
48	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2), 26A(3)	Yes	
	Any other information to be provided - Add Notes			

**Annexure II**

1	Name of signatory	Pravin Jogani
2	Designation	Company Secretary and Compliance Officer

**Annexure II**

**III. Affirmations**

<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

**Annexure II**

<b>Annexure II</b>		
1	Name of signatory	Pravin Jogani
2	Designation	Company Secretary and Compliance Officer

<b>Details of Cyber security incidence</b>		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

<b>Signatory Details</b>	
Name of signatory	Pravin Jogani
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	17-04-2026

<b>Investor Grievance Details</b>	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

**Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies- The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:**

Any Other Information for Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies					
Sr. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
1	Anand Rathi Global Finance Limited	18-03-2026	7.91	0	7.91

**Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:**

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	Goods and Services Tax	Show Cause Notice passed under Sec 73 of UPGST/CGST Act, 2017	23-09-2025	Tax Liability arising under Reverse Charge has not been accepted by the Taxpayer, hence penalty has been on the same.	NA

