

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L67120MH1995PLC086696

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACH8136P

(ii) (a) Name of the company

ANAND RATHI WEALTH LIMITE

(b) Registered office address

Express Zone, A Wing, 10th Floor,  
Western Express Highway, Goregaon (E),  
Mumbai  
Mumbai City  
Maharashtra  
400062

(c) \*e-mail ID of the company

csarwsl@rathi.com

(d) \*Telephone number with STD code

02262817003

(e) Website

<https://anandrathiwealth.in/>

(iii) Date of Incorporation

22/03/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE India Limited	1
2	National Stock Exchange of India Ltd	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes  No

(f) Specify the reasons for not holding the same

To be held

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	AR DIGITAL WEALTH PRIVATE I	U65923MH1996PTC097270	Subsidiary	75.51
2	FFREEDOM INTERMEDIARY INF	U74999MH2013PTC245870	Subsidiary	100
3	FREEDOM WEALTH SOLUTION	U74990MH2009PTC190352	Subsidiary	95

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	50,000,000	41,688,247	41,688,247	41,688,247
Total amount of equity shares (in Rupees)	250,000,000	208,441,235	208,441,235	208,441,235

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Share FV of Rs. 5 each				
Number of equity shares	50,000,000	41,688,247	41,688,247	41,688,247
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	250,000,000	208,441,235	208,441,235	208,441,235

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	1	41,688,246	41688247	208,081,135	208,081,135	
<b>Increase during the year</b>	0	72,020	72020	360,100	360,100	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	72,020	72020	360,100	360,100	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	1	41,760,266	41760267	208,441,235	208,441,235	
<b>Preference shares</b>						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE463V01026

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>	<input type="text"/>		<input type="text"/>		<input type="text"/>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

5,299,703,771.71

**(ii) Net worth of the Company**

5,050,237,858.02

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	11,027,383	26.45	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	9,291,123	22.29	0	
10.	Others	0	0	0	
	<b>Total</b>	20,318,506	48.74	0	0

**Total number of shareholders (promoters)**

16

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage



1.	Individual/Hindu Undivided Family				
	(i) Indian	10,963,181	26.3	0	
	(ii) Non-resident Indian (NRI)	392,014	0.94	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	52,618	0.13	0	
6.	Foreign institutional investors	711,360	1.71	0	
7.	Mutual funds	4,111,732	9.86	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,180,333	7.63	0	
10.	Others            LLP, trusts, Clearing Membe	1,958,503	4.7	0	
	<b>Total</b>	21,369,741	51.27	0	0

**Total number of shareholders (other than promoters)**

35,627

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

35,643

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

38

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
IBM DIVERSIFIED GLOI	CITIBANK N.A. CUSTODY SERVICES			9,852	0.02
PUBLIC EMPLOYEES RI	CITIBANK N.A. CUSTODY SERVICES			17,214	0.04
NATIONAL RAILROAD	CITIBANK N.A. CUSTODY SERVICES			6,783	0.02
MORGAN STANLEY AS	CITIBANK N.A. CUSTODY SERVICES			39,417	0.09

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
EMPLOYEES RETIREME	CITIBANK N.A. CUSTODY SERVICES			19,665	0.05
THE BOEING COMPAN	CITIBANK N.A. CUSTODY SERVICES			5,415	0.01
WISDOMTREE INDIA I	CITIBANK N.A. CUSTODY SERVICES			7,388	0.02
TEACHERS' RETIREME	CITIBANK N.A. CUSTODY SERVICES			27,230	0.07
INTEGRATED CORE ST	CITIBANK N.A. CUSTODY SERVICES			5,846	0.01
MCKINLEY CAPITAL M	HSBC SECURITIES SERVICES, 11TH			23,980	0.06
SEI TRUST COMPANY,	HSBC SECURITIES SERVICES, 11TH			3,512	0.01
CITY OF NEW YORK GF	HSBC SECURITIES SERVICES, 11TH			15,529	0.04
ALASKA PERMANENT	DEUTSCHE BANK AG DB HOUSE, H			8,150	0.02
PUBLIC EMPLOYEES RI	DEUTSCHE BANK AG DB HOUSE, H			6,167	0.01
ACADIAN EMERGING I	DEUTSCHE BANK AG DB HOUSE, H			4,658	0.01
COMMONWEALTH OF	DEUTSCHE BANK AG DB HOUSE, H			11,698	0.03
ACADIAN EMERGING I	DEUTSCHE BANK AG DB HOUSE, H			6,110	0.01
OREGON PUBLIC EMP	DEUTSCHE BANK AG DB HOUSE, H			4,491	0.01
EMPLOYEES RETIREME	DEUTSCHE BANK AG DB HOUSE, H			11,495	0.03
ACADIAN EMERGING I	DEUTSCHE BANK AG DB HOUSE, H			36,730	0.09
RUSSELL INVESTMENT	DEUTSCHE BANK AG DB HOUSE, H			8,883	0.02
RUSSELL INVESTMENT	DEUTSCHE BANK AG DB HOUSE, H			9,261	0.02
INTERNATIONAL EQUI	DEUTSCHE BANK AG DB HOUSE, H			2,175	0.01
GLOBAL MANAGED VC	DEUTSCHE BANK AG DB HOUSE, H			8,960	0.02
ACADIAN EMERGING I	DEUTSCHE BANK AG DB HOUSE, H			6,436	0.02

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	14	16
Members (other than promoters)	45,423	35,627
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	2	0	2	0	19.9
<b>B. Non-Promoter</b>	1	5	1	4	0	0
(i) Non-Independent	1	1	1	0	0	0
(ii) Independent	0	4	0	4	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	6	0	19.9

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ANAND NANDKISHOR	00112853	Director	6,396,390	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRADEEP KUMAR GU	00040117	Director	1,902,012	
RAKESH RAWAL	02839168	Whole-time director	0	
MOHAN VASANT TANIP	02971181	Director	0	
KISHAN GOPAL SOMA	00014648	Director	0	
RAMESH CHANDAK	00026581	Director	1,500	
SUDHA PRAVIN NAVA	02804964	Director	1,500	
RAJESH KUMAR BHUT	AGIPB5836H	CFO	9,378	
NITESH TANWAR	AOBPT6200Q	Company Secretary	27	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
AMIT ANAND RATHI	00029791	Director	13/10/2022	Cessation
ASHISH NITIN CHAUH.	AHRPC9191Q	Company Secretary	30/05/2022	Cessation

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	12/08/2022	41,852	142	22.38

**B. BOARD MEETINGS**

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	12/04/2022	8	7	87.5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
2	12/07/2022	8	6	75
3	13/10/2022	8	7	87.5
4	12/01/2023	7	5	71.43
5	20/03/2023	7	5	71.43

### C. COMMITTEE MEETINGS

Number of meetings held

20

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	12/04/2022	6	5	83.33
2	Audit Committee	12/07/2022	6	4	66.67
3	Audit Committee	13/10/2022	6	5	83.33
4	Audit Committee	12/01/2023	6	4	66.67
5	Nomination and Remuneration	12/04/2022	3	3	100
6	Nomination and Remuneration	12/07/2022	3	2	66.67
7	Nomination and Remuneration	13/10/2022	3	3	100
8	Nomination and Remuneration	12/01/2023	4	3	75
9	Nomination and Remuneration	20/03/2023	4	3	75
10	Stakeholders Forum	12/01/2023	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	ANAND NANI	5	5	100	20	20	100	
2	PRADEEP KUMAR	5	5	100	10	9	90	

3	RAKESH RAV	5	3	60	6	4	66.67	
4	MOHAN VASA	5	5	100	6	6	100	
5	KISHAN GOP	5	2	40	9	4	44.44	
6	RAMESH CHA	5	5	100	12	12	100	
7	SUDHA PRAV	5	5	100	10	10	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rakesh Rawal	Whole-time Dire	62,725,811	0	0	0	62,725,811
	Total		62,725,811	0	0	0	62,725,811

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Rajesh Bhutara	Chief Financial C	11,555,900	0	0	0	11,555,900
2	Nitesh Tanwar	Company Secre	626,029	0	0	0	626,029
	Total		12,181,929	0	0	0	12,181,929

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MOHAN VASANT T	Independent Dir	0	0	0	400,000	400,000
2	KISHAN GOPAL SC	Independent Dir	0	0	0	200,000	200,000
3	RAMESH CHANDA	Independent Dir	0	0	0	550,000	550,000
4	SUDHA PRAVIN N	Independent Dir	0	0	0	500,000	500,000
	Total		0	0	0	1,650,000	1,650,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

HIMANSHU S KAMDAR

Whether associate or fellow

Associate  Fellow

Certificate of practice number

3030

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary  
 Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

#### List of attachments

MGT-8.pdf Optional Attachments.pdf
---------------------------------------

**Remove attachment**

**Modify**

**Check Form**

**Prescrutiny**

**Submit**

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



Date: May 12, 2023

To,  
**Registrar of Companies,**  
**Mumbai, Maharashtra.**

**Subject: Enclosures to E-form MGT-7 OF Anand Rathi Wealth Limited (CIN: L67120MH1995PLC086696)**

Dear Sir/Madam,

With reference to the captioned subject and due to constraints in fields provided on the following information, we enclose herewith documents in "Optional Attachments(s), if any" tab of the **E-form MGT-7**.

Annexure	Point no. in Form MGT -7	Description of attachment
I	VI (c)	Complete list of Foreign Institutional Investor and Foreign Portfolio Investor
II	IX C	Complete list of committee meetings

Thanking you,  
For **Anand Rathi Wealth Limited**

Sd/-  
**Anand Rathi**  
**Chairman & Non-executive Director**  
**DIN: 00112853**

## Annexure - 1

Anand Rathi Wealth Limited				
Details in respect of shares held by or on behalf of the FII/ FPIs.				
S. No.	Name Of FII/FPI	Address	SHARES	% of share holding
1	IBM DIVERSIFIED GLOBAL EQUITY FUND	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	9852	0.02
2	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF COLORADO	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	17214	0.04
3	NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	6783	0.02
4	MORGAN STANLEY ASIA (SINGAPORE) PTE. - ODI	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	39417	0.09
5	EMPLOYEES RETIREMENT FUND OF THE CITY OF DALLAS	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	19665	0.05
6	THE BOEING COMPANY EMPLOYEE SAVINGS PLANS MASTER TRUST	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	5415	0.01
7	WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	7388	0.02
8	TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC - EM SMALL CAP	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	27230	0.07
9	INTEGRATED CORE STRATEGIES (ASIA) PTE. LTD.	CITIBANK N.A. CUSTODY SERVICES, FIFC- 9TH FLOOR, G BLOCK, PLOT C-54 AND C-55, BKC, BANDRA - EAST, MUMBAI - 400098	5846	0.01
10	MCKINLEY CAPITAL MEASA FUND OEIC LIMITED	HSBC SECURITIES SERVICES, 11TH FLR, BLDG NO.3, NESCO - IT PARK, NESCO COMPLEX, W E HIGHWAY, GOREGAON EAST, MUMBAI - 400063	23980	0.06
11	SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF ACADIAN GLOBAL SMALL CAP EQUITY CIT FUND	HSBC SECURITIES SERVICES, 11TH FLR, BLDG NO.3, NESCO - IT PARK, NESCO COMPLEX, W E HIGHWAY, GOREGAON EAST, MUMBAI - 400063	3512	0.01
12	CITY OF NEW YORK GROUP TRUST	HSBC SECURITIES SERVICES, 11TH FLR, BLDG NO.3, NESCO - IT PARK, NESCO COMPLEX, W E HIGHWAY, GOREGAON EAST, MUMBAI - 400063	15529	0.04
13	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	8150	0.02
14	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	6167	0.01
15	ACADIAN EMERGING MARKETS EQUITY UCITS	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	4658	0.01
16	COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE328-ACADIAN ASSETMANAGEMENT INC.	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	11698	0.03
17	ACADIAN EMERGING MARKETS EQUITY II FUND LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	6110	0.01
18	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH ACADIAN ASSET MANAGEMENT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	4491	0.01

19	EMPLOYEES RETIREMENT SYSTEM OF TEXAS - SELF MANAGED PORTFOLIO	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	11495	0.03
20	ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	36730	0.09
21	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS EQUITY UCITS II	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	8883	0.02
22	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	9261	0.02
23	INTERNATIONAL EQUITY FUND - ACADIAN ASSET MANAGEMENT LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	2175	0.01
24	GLOBAL MANAGED VOLATILITY FUND ACADIAN ASSET MANAGEMENT LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	8960	0.02
25	ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY FUND LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	6436	0.02
26	ACADIAN EMERGING MARKETS ALPHA PLUS FUND TRUST	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	5476	0.01
27	ACADIAN NON-US ALL CAP EQUITY FUND, USD HEDGED,LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	2195	0.01
28	ACADIAN ALL COUNTRY WORLD EX US FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	8772	0.02
29	RAYTHEON TECHNOLOGIES CORPORATION MASTER RETIREMENT TRUST - ACADIAN ASSET MANAGEMENT LLC	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	5763	0.01
30	ACADIAN EMERGING MARKETS MICRO-CAP EQUITY MASTER FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG, POST BOX NO. 1142, FORT, MUMBAI - 400001	41135	0.10
31	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANK, SECURITIES SERVICES OPERATIONS, 3RD FLOOR, 23-25, M.G ROAD, FORT, MUMBAI - 400001	13169	0.03
32	COHESION MK BEST IDEAS SUB-TRUST	STANDARD CHARTERED BANK, SECURITIES SERVICES OPERATIONS, 3RD FLOOR, 23-25, M.G ROAD, FORT, MUMBAI - 400001	294197	0.71
33	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE, 1 NORTH AVENUE, MAKER MAXITY, BANDRA KURLA COMPLEX, BANDRA EAST, MUMBAI - 400051	50776	0.12
34	PREMIER INVESTMENT FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED, KOTAK INFINITI, BLDG.NO.21, 6TH FLR, ZONE IV,CUSTODY SERVS, INFINITY PARK, GEN. AK VAIDYA MARG, MALAD E, MUMBAI - 400097	8825	0.02
35	NEW YORK STATE COMMON RETIREMENT FUND	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI, 400064	14892	0.04
36	BUMA-UNIVERSAL-FONDS I	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI, 400064	17446	0.04
37	QUADRATURE CAPITAL VECTOR SP LIMITED	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI, 400064	44599	0.11
38	O'NEIL CAPITAL MANAGEMENT INC	12655 BEATRICE, ST LOS ANGELES, CA, UNITED STATES OF AMERICA - 90066	12481	0.03

## Annexure II

### List of committee meetings of Anand Rathi Wealth Limited for FY 2022-23

S. No.	Type of Meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance Number of members attended	% of attendance
1	Audit Committee	12/04/2022	6	5	83.33
2	Audit Committee	12/07/2022	6	4	66.67
3	Audit Committee	13/10/2022	6	5	83.33
4	Audit Committee	12/01/2023	5	4	66.67
5	Nomination and Remuneration Committee	12/04/2022	3	3	100.00
6	Nomination and Remuneration Committee	12/07/2022	3	2	66.67
7	Nomination and Remuneration Committee	13/10/2022	3	3	100.00
8	Nomination and Remuneration Committee	12/01/2023	4	3	75.00
9	Nomination and Remuneration Committee	20/03/2023	4	3	75.00
10	Stakeholders Relationship Committee	12/01/2023	3	3	100.00
11	Risk Management Committee	13/10/2022	6	6	100.00
12	Risk Management Committee	12/01/2023	6	6	100.00
13	Corporate Social Responsibility Committee	12/04/2022	3	3	100.00
14	Corporate Social Responsibility Committee	12/01/2023	3	3	100.00
15	Management Committee	09/05/2022	6	5	83.33
16	Management Committee	12/07/2022	6	5	83.33
17	Management Committee	28/09/2022	6	2	33.33
18	Management Committee	15/10/2022	5	5	100.00
19	Management Committee	15/11/2022	5	5	100.00
20	Management Committee	18/01/2023	5	5	100.00